



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/506,765	04/14/2005	Yongmao Li	11005.0064-00000	8915
22852 7590 03/03/2010 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413				
			EXAMINER OKEKE, EZUNNA	
			ART UNIT 2432	PAPER NUMBER
			MAIL DATE 03/03/2010	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/506,765

Applicant(s)

LI ET AL.

Examiner

IZUNNA OKEKE

Art Unit

2432

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 December 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3 and 6-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-3 and 6-26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/GS/US)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims 1-3 and 6-26 have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 112

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claim 25 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 25 defines an authentication device comprising different "modules" (such as receiving module, authentication module, sending module) but the specification does not provide any disclosure or guidance on the proper description or interpretation of these "modules".

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1-3 and 10-26 are rejected under 35 U.S.C. 102(b) as being anticipated by Singhal et al (US-6851050).

a. Referring to claim 1, 25 and 26:

Regarding claim 1 and similar claims 25 and 26, Singhal teaches a method for distributing encryption keys in a Wireless Local Area Network (WLAN), comprising: receiving, by an authentication device, an authentication request containing identification information for identity authentication from a mobile host (Col 19, Para 28-30..... authentication request comprising identification); authenticating said mobile host according to said identification information; if authentication fails, sending a message comprising ACCESS_REJECT information to said mobile host (Col 19, Line 35-38), and if authentication succeeds, sending [[a]] key-related information M1 to an access point (AP) and a message comprising ACCESS_ACCEPT information to said mobile host, wherein the key-related information M1 includes property information associated with the mobile host, and said key-related information M1 is used to generate a key by said AP; wherein if [[a]] key-related information M2 is comprised in said message comprising the ACCESS_ACCEPT information, said message comprising the ACCESS_ACCEPT information is encrypted, and said message comprising the ACCESS ACCEPT information is used to obtain the key by the mobile host (Col 19, Line 40-60.... upon successful authentication, the client and the AP negotiate a key used for encrypting data packets).

a. Referring to claim 2:

Regarding claim 2, Singhal teaches the method for distributing encryption keys in the WLAN of claim 1 further comprising wherein said key-related information M1 is the corresponding property information searched by said authentication device according to the identification information, the method of said AP obtaining the key comprises:

generating the key, by said AP, according to said property information associated with the mobile host with a key generation algorithm; generating the key, by said mobile host, according to [[the]] property information stored in the mobile host with the same key generation algorithm after said mobile host receives said message comprising the ACCESS_ACCEPT information (Col 19, Line 28-60..... authentication using identification information of the mobile wherein AP and mobile negotiates a session key for communication)

a. Referring to claim 3:

Regarding claim 3, Singhal teaches the method for distributing encryption keys in the WLAN of claim 1 further comprising wherein said key-related information M1 is the corresponding property information searched by said authentication device according to the identification information, the method of said AP obtaining the key comprises: generating the key, by said AP, with a key generation algorithm; wherein said key-related information M2 [[is]] includes said key generated and encrypted by said AP and is sent to said mobile host along with said ACCESS_ACCEPT message, said mobile host obtaining the key through decrypting information M2 with said property information (Col 18, Line 45-60.... AP generates master key and provides it to client who uses it in negotiating and obtaining the key).

a. Referring to claim 10, 11, 12, 13 and 14:

Regarding claim 10 and similar claims 11, 12, 13 and 14, Singhal teaches the method for distributing encryption keys in the WLAN of claim 1 wherein said authentication device is an authentication server installed in external network (Fig 14, authentication server 1450).

a. Referring to claim 15, 16, 17, 18 and 19:

Regarding claim 15 and similar claims 16, 17, 18 and 19, Singhal teaches the method for distributing encryption keys in the WLAN of claim 1 wherein said authentication device is a wireless gateway that connects said AP with external network (Fig. 14 and Col 18, Line 61 thru Col 19, Line 3..... routing coordinator 1460 as part of the authentication server which performs gateway functions for the AP).

a. Referring to claim 20, 21, 22, 23 and 24:

Regarding claim 20 and similar claims 21, 22, 23 and 24, Singhal teaches the method for distributing encryption keys in the WLAN of claim 1 wherein said authentication device includes a wireless gateway and said authentication server installed in external network (Fig 1.... authentication server 1450 and routing coordinator 1460).

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 6-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Singhal et al (US-6851050), and further in view of Wang et al. (US-20030084287).

a. Referring to claim 6-9:

Regarding claim 6-9, Singhal teaches a method of distributing encryption keys wherein a mobile client authenticates to an authentication server using an identifier and upon successful authentication, the AP and the client negotiate a session key for encrypting communication between the entities. Singhal does not teach a key update method wherein the derived key is

updated by generating a new key using a shared secret. However, periodically or aperiodically updating session keys or encryption keys used in communication between two entities is widely known in the art for ensuring the integrity of keys in case they have been compromised or fraudulently obtained by a hacker. For instance, Wang et al. discloses a method for authenticating a roaming device within a network and distributing encryption keys wherein the key is periodically updated to increase the security of the system. The AP (or the AS in another embodiment) generates the new key (update key) from the shared secret and sends it to the client which derives the key from the shared secret. (See Wang, Para 26-28..... process for periodically updating the encryption key in a network). Therefore, one of ordinary skill in the art would be motivated to modify Singhal's system by adding a key update process as taught by Wang for the purpose of increasing the security of the system against a compromised key because if an encryption key is used repeatedly without updating or renewal, an attacker who successfully compromises the key will have access to the encrypted communications.

a. Referring to claim 6:

Regarding claim 6, the combination of Singhal and Wang teaches the method for distributing encryption keys in the WLAN of claim 1 wherein when receiving data packets encrypted with a key sent from the mobile host, said AP updates the key through the following steps of: (a1) said AP generating a random number and generating a new key from said random number with any key generation algorithm; (b1) said AP adding said random number to a key update message and then sending said message to said mobile host; (c1) when receiving said key update message, said mobile host generating a new key from said random number contained in said key update message with the same key generation algorithm as that in step (a1); (d1) said

mobile host encrypting the data packets to be sent to said AP with said new key and then sending the encrypted data packets to said AP, during the encryption process, said mobile host adding an encryption identifier to said data packets and changing the value of said encryption identifier to indicate the communication key has been changed; and (c1) when receiving the data packets from said mobile host, said AP determines whether to change the key according to value of said encryption identifier (See Wang, Para 26-28.... key update process carried out by AP wherein a new key is generated from a shared secret and sent to a mobile which obtains the new key from the shared secret wherein the new key is used for encrypting communication between the AP and client).

a. Referring to claim 7:

Regarding claim 7, the combination of Singhal and Wang teaches the method for distributing encryption keys in the WLAN of claim 1 wherein in order to achieve encryption communication with the new key, when receiving the data packets encrypted with the key sent from said mobile host, said AP updates the key periodically or aperiodically through the following steps of: (a2) said AP generating a new key in any way and encrypting said new key with the present key; (b2) said AP adding the encrypted key to the key update message and then sending said message to said mobile host; (c2) when receiving said key update message, said mobile host decrypting the new key contained in said key update message with the present key so as to obtain said new key; (d2) said mobile host encrypting the data packets to be sent to said AP with said new key and then sending the encrypted data packets to said AP, during the encryption process, said mobile host adding an encryption identifier to said data packets and changing the value of said encryption identifier to indicate the communication key has been

changed; and (c2) when receiving the data packets from said mobile host, said AP determines whether to change the key according to value of said encryption identifier (See the rejection in claim 6 and Wang, Para 26..... periodically updating the key)

a. Referring to claim 8:

Regarding claim 8, the combination of Singhal and Wang teaches the method for distributing encryption keys in the WLAN of claim 1 wherein when receiving the data packets encrypted with the key sent from said mobile host, said AP updates the key periodically or aperiodically through the following steps of: (a3) said authentication device generating a random number which is used to generate a new key with the key generation algorithm, and then said authentication device sending said new key to said AP, and sending said random number to said mobile host via said AP; (b3) said AP sending said key update message to said mobile host after receiving said new key; (C3) when receiving said random number from said authentication device and said key update message from AP, said mobile host generating a new key from said random number with the same key generation algorithm as that in step (a3); (d3) said mobile host encrypting the data packets to be sent to said AP with said new key and then sending the encrypted data packets to said AP, during the encryption process, said mobile host adding an encryption identifier to said data packets and changing the value of said encryption identifier to indicate the communication key has been changed; and (e3) when receiving the data packets from said mobile host, said AP determines whether to change the key according to value of said encryption identifier (See the rejection in claims 7 and 8 and Wang, Para 26-28.... embodiment wherein AS generates the new key and sends it to the AP).

a. Referring to claim 9:

Regarding claim 9, the combination of Singhal and Wang teaches the method for distributing encryption keys in the WLAN of claim 1 wherein in order to achieve encryption communication with the new key, when receiving the data packets encrypted with the key sent from said mobile host, said AP updates the key periodically or aperiodically through the following steps of: (a4) said AP generating a new key in any way and encrypting said new key with the present key, then sending said new key to said AP, whereas sending the encrypted new key to said mobile host via said AP; (b4) after receiving said new key, said AP sending a key update message to said mobile host; (c4) when receiving the encrypted key from said authentication device and said key update message from said AP, said mobile host decrypting the encrypted key with the present key to obtain a new key; (d4) said mobile host encrypting the data packets to be sent to said AP with said new key and then sending the encrypted data packets to said AP, during the encryption process, said mobile host adding an encryption identifier to said data packets and changing the value of said encryption identifier to indicate the communication key has been changed; and (e4) when receiving the data packets from said mobile host, said AP determines whether to change the key according to value of said encryption identifier (See the rejection in claims 6,7 and 8).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to IZUNNA OKEKE whose telephone number is (571)270-3854. The examiner can normally be reached on 9:00am - 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571) 272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/I. O./
Examiner, Art Unit 2432

/Jung Kim/
Primary Examiner, AU 2432